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The Pulvinar Thalamic Nucleus of Non-Human Primates: Architectonic and Functional Subdivisions

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Chapter 9

Response Properties of Pulvinar Neurons Studied with Single-Unit Electrophysiological Recordings

Mathers and Rapisardi (1973) studied the visual and somatosensory responses of the squirrel monkey pulvinar, where they described visual neurons in subregions PL, PI, and PM. Most neurons exhibited a definable receptive field, while only a few responded to diffuse illumination. Approximately twice as many neurons in PI were responsive to light compared to neurons in PL or PM. Nearly all neurons with identifiable receptive fields responded to visual stimulation within 25° of the fovea, on the hemifield contralateral to the recording electrode. The majority of the visual units were responsive to some form of moving stimulus, and some exhibited direction or orientation selectivity. Most visual neurons were monocularly driven and exhibited receptive fields of at least 100 square degrees in area. Mathers and Rapisardi (1973) also found somatosensory neurons in PL. Most of these units exhibited continuous peripheral receptive fields, though a few of these neurons could be bilaterally activated.

In order to systematize our electrophysiological findings and to enable a coherent presentation of the data, we have classified the neurons recorded in the pulvinar according to their functional properties (Gattass et al. 1978a, b). The units were thereby assigned to eight different categories or groups, as summarized in Fig. 9.1.

The first tier of this classification segregates the pulvinar neurons into either static or dynamic units. Neurons classified as static showed a brisk response to stationary stimuli presented over their receptive fields and a similar or weaker response to moving stimulus. In contrast, dynamic units showed poor or no response to stationary stimuli but a brisk response to moving stimuli. Dynamic units predominated (75%) over static ones (25%). About 15% of the units could not be categorized as either static or dynamic and were thereby designated as “unclassified.”

Generally, the dynamic units were tuned for stimulus velocity. Static units responded tonically (58%) or, less frequently, phasically (42%) to stimulus onset or offset. In contrast, dynamic units always responded phasically to such stimulus transients.

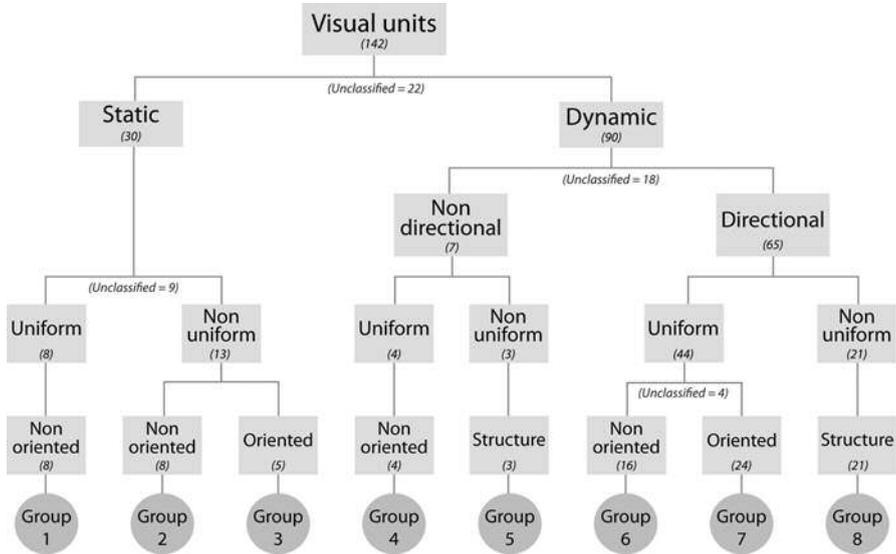


Fig. 9.1 Classification of pulvinar neurons based on their functional response properties measured with electrophysiological recordings. When the data available did not permit a reliable classification of a unit, it was included under a separate heading (i.e. ‘unclassified’). The number of units classified under each heading is shown in parenthesis [modified from Gattass et al. (1979)]

9.1 Neurons Classified as “Static”

Static units can be further subdivided on the basis of their receptive field organization. Units classified as “uniform” showed similar response properties throughout the extent of their receptive fields. In contrast, units classified as “nonuniform” exhibited more complex receptive field structure, as outlined below.

9.1.1 Uniform Non-oriented (Group 1)

The “uniform non-oriented” units were nonselective to stimulus orientation and displayed somewhat homogeneous response properties across their receptive field. However, their receptive field borders were not always definable, and some units responded to diffuse illumination. Figure 9.2 illustrates an example of such a unit, which responds with a tonic *on* discharge during stimulus presentation. Note that the response to a 7° spot varies in magnitude depending on the stimulation site within the receptive field.

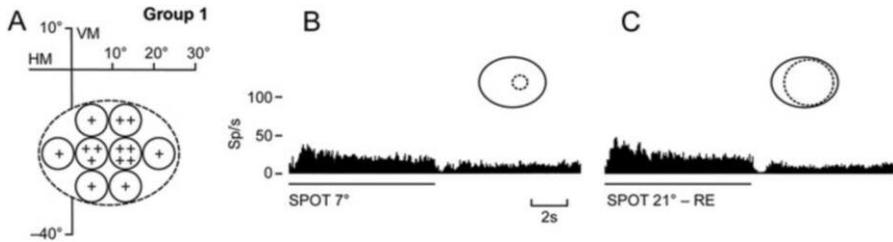


Fig. 9.2 Group 1 unit isolated in P1. This unit gives an *on*-tonic response to stimuli presented anywhere within its RF. In (a), the number of crosses indicates the relative magnitude of the response to a 7° spot. Note that the response magnitude does not vary appreciably when either a 7° spot (b), a 21° spot (c) or diffuse light (not shown) is presented to the RF. The continuous line below the post-stimulus time histograms (*psth*) indicates stimulus duration. (b) and (c) represent two *on-off psth*s of the cumulative number of events that occurred in each of 256 bins following the onset (*on*) and interruption (*off*) of the stimulus presentation. The time span covered by each bin is adjustable. Each histogram represents the cumulative acquisition of 30 trials. Abbreviations used in this and in the other figures: *VM* vertical meridian, *HM* horizontal meridian, *Sp/s* discharge rate in spikes per second [modified from Gattass et al. (1979)]

9.1.2 Nonuniform Non-oriented (Group 2)

Neurons classified as “nonuniform non-oriented” were similar to Group 1, except that their receptive field subregions exhibited distinct functional properties. Namely, these neurons responded with either excitation or inhibition depending on the portion of the receptive field being stimulated. For the subset of pulvina neurons studied in Gattass et al. (1979), responses to stimulus onset or offset could always be evoked by stimulating the receptive field center. Interestingly, visual stimulation on the receptive field periphery was always phasic, regardless if the response to receptive field center stimulation was tonic or phasic.

9.1.3 Nonuniform Oriented (Group 3)

The Group 3 “nonuniform oriented” neurons distinguish themselves from Group 2 by their selectivity to visually oriented stimuli presented within their receptive field. The responses to static stimuli presented to the receptive field center were predominately excitatory and tonic, even though inhibitory or phasic responses could also be observed, especially when stimulating the receptive field surround. Indeed, we observed nonuniformities in the functional organization of these receptive fields. The responses reflected different degrees of center vs. surround interaction, where center-surround antagonism was usually predominant. An example of a Group 3 unit is illustrated in Fig. 9.3. Note the tonic sustained response when stimulating the receptive field center (Fig. 9.3-A₂) and the phasic response when

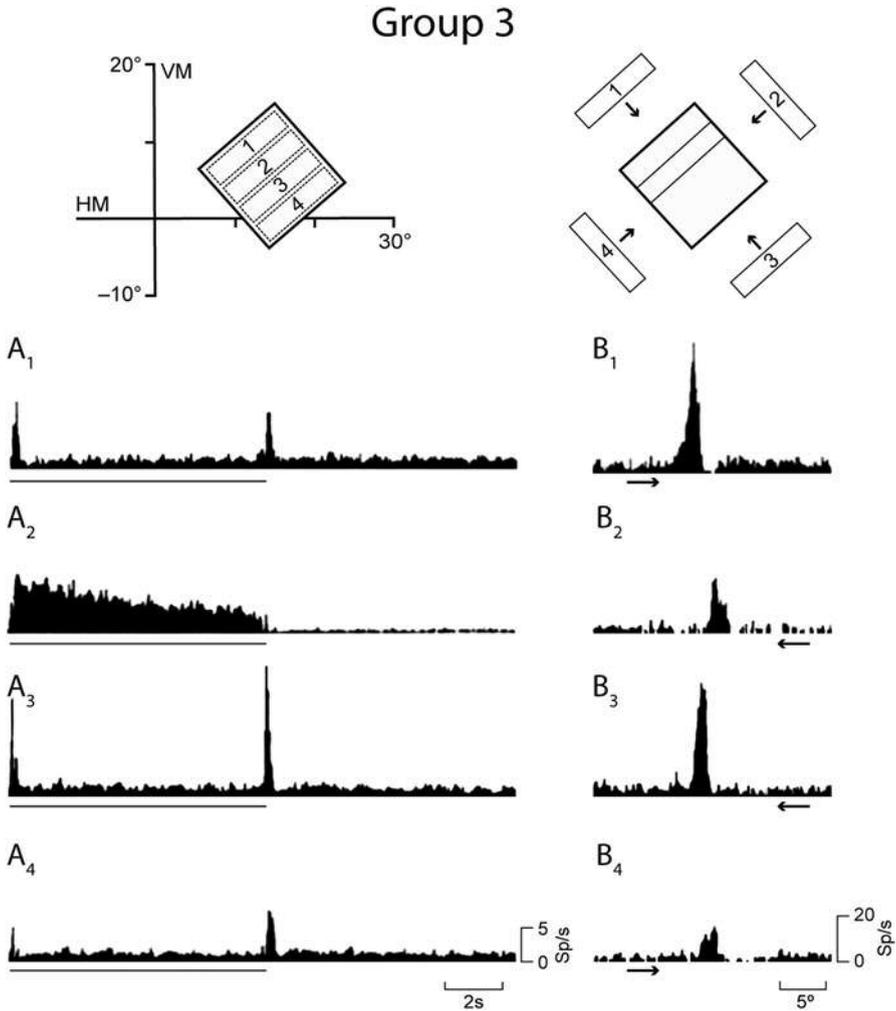


Fig. 9.3 Response characteristics of a P1 single unit (Group 3). (A₁), (A₂), (A₃) and (A₄) illustrate *on-off psth*s corresponding to neuronal responses to a stopped slit (2.5° wide) presented in four distinct regions of the RF (top left panel). Note the tonic *on*-response in (A₂) contrasting with the phasic *on-off* response to stimulation in other regions of the receptive field. Panels B₁, B₂, B₃ and B₄ illustrate *psth*s of the cumulative number of spike events for each direction of stimulus displacement across the screen (top right panel). The plots in (B) correlate neuronal firing with stimulus displacement in the directions indicated by the arrows. Note that the neuron exhibits a preferred stimulus orientation (see Panels B₁ and B₃), but does not show direction selectivity. Stimulus velocity = 13°/s. Data was gathered for 30 trials (Panels A₁–A₄) and for 15 trials (Panels B₁–B₄) [modified from Gattass et al. (1979)]

stimulating the receptive field flanks (Fig. 9.3-A₁, A₃ and A₄). Panels B₁₋₄ illustrate the orientation selectivity of this particular unit.

9.2 Neurons Classified as “Dynamic”

The main criterion for pulvinar neurons to be classified as “dynamic” constituted their poor response to static stimuli being presented over their receptive fields. Dynamic units could be additionally subdivided based on their sensitivity to stimulus motion direction. Within the “dynamic” group, the majority of the units were direction selective. Units that were nondirection selective usually responded to a luminous spot moving along any axis within their receptive field. Interestingly, units classified as “dynamic” were predominately binocularly driven.

9.2.1 *Nondirectional Uniform Non-oriented (Group 4)*

Only a small proportion of the “dynamic” units were found to be nonselective for either stimulus direction or orientation. Neurons exhibiting these response characteristics were evenly distributed as presenting a uniform (Group 4) or a nonuniform (i.e., structured; see Group 5) receptive field organization. The neurons showing uniform responses discharged briskly when a spot of light crossed the borders of their receptive fields, including when the stimulus swept at high velocities. However, sustained tonic responses could be elicited using “jerky stimulus movements.”

9.2.2 *Nondirectional Nonuniform with Structure (Group 5)*

The pulvinar neurons with nonuniform receptive fields (Group 5) contrast with those having uniform receptive fields (Group 4) in two ways: Group 5 neurons have smaller receptive fields and show a preference for low stimulus velocities, compared to those neurons classified as Group 4. The work of Gattass et al. (1979) reported on only three units belonging to Group 5. Two of them had a receptive field with a center-surround organization, and one had subregions within its receptive field that were selective to different stimulus properties. Figure 9.4 illustrates a Group 5 neuron with center-surround receptive field organization. The presentation of a static stimulus restricted to the receptive field center produced a weak phasic response (Fig. 9.4b). An annular stimulus sparing the receptive center elicited no response, while it also blocked the neuron from discharging during the simultaneous presentation of a center stimulus. Note that the unit exhibits no orientation or direction selectivity (Fig 9.4c). The small difference in response amplitude observed for the vertical (1–6) as compared to the horizontal (3–4) stimuli may

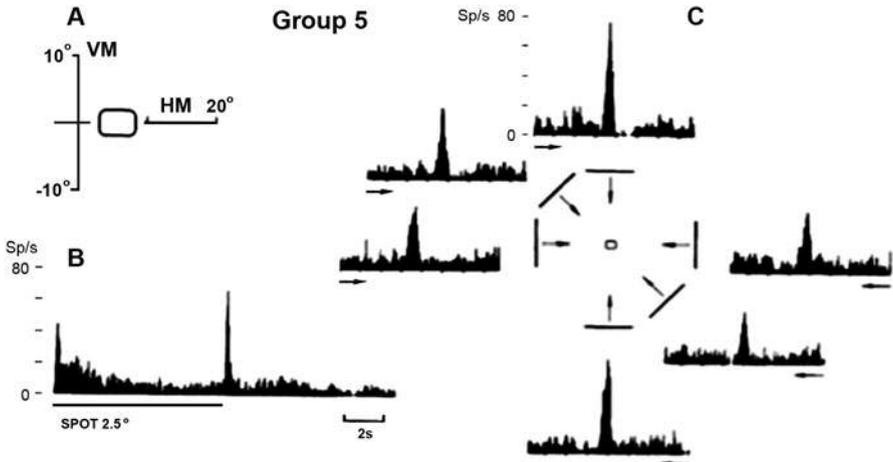


Fig. 9.4 Example of non-directional unit showing surround suppression (Group 5). (a) Example unit isolated in P4 and possessing a rectangular receptive field located at the level of the horizontal meridian, near the fovea. (b) Phasic ON-OFF response evoked by a 2.5° diameter spot presented at the center of the receptive field. (c) PSTHs of the responses of the same unit obtained when a full slit (0.75° wide) is displaced across its receptive field in the directions indicated by the arrows (stimulus velocity = 9°/s, 20 trials) [modified from Gattass et al. (1979)]

be attributed to the larger area of the RF being activated when the slit was oriented parallel to the long horizontal axis of the receptive field.

9.2.3 Directional Uniform Non-oriented (Group 6)

Neurons exhibiting direction selectivity were the most abundant visual units found in the pulvinar. These neurons usually preferred slow stimulus velocities. They could be subdivided into two broad categories: units exhibiting uniform receptive fields either with (Group 7) or without (Group 6) orientation selectivity, as well as units exhibiting nonuniform receptive fields (Group 8). Group 6 neurons were characterized by the fact that they responded equally well to either a spot of light or to a broad set of oriented stimuli displaced across their receptive fields (Fig. 9.5).

9.2.4 Directional Uniform Oriented (Group 7)

Group 7 neurons distinguished themselves from Group 6 units by the presence of orientation selectivity. The vast majority of these cells actually exhibited bidirectional responses. They thereby elicited only weak responses to a spot of light displaced across their receptive field. Accordingly, they were much more narrowly

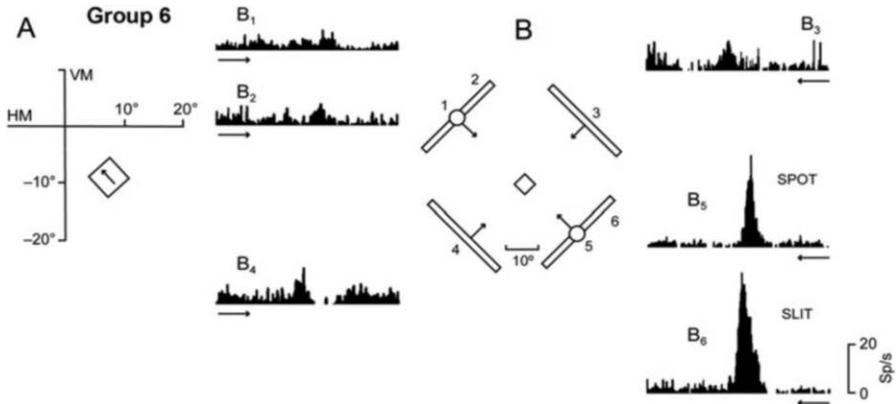


Fig. 9.5 Directional uniform non-oriented unit (Group 6). (a) Receptive field of the unit isolated in P1. (b) The PSTHs illustrate the unidirectional response of the unit to a 1° wide full slit (stimulus velocity = 7°/s, 20 trials). Similar results were obtained when the slit was substituted by a spot [modified from Gattass et al. (1979)]

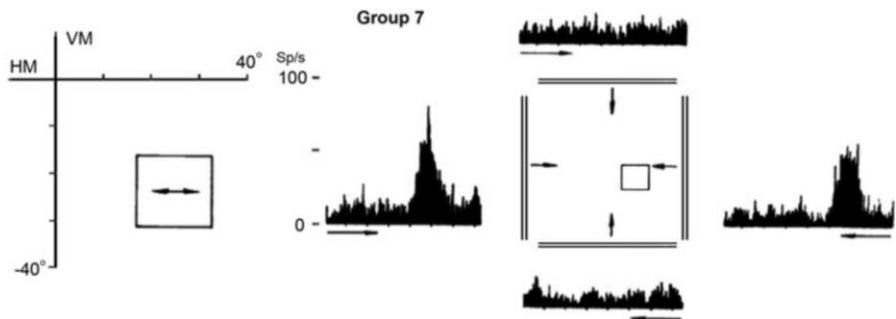


Fig. 9.6 Unit isolated in P2 and assigned to Group 7. The receptive field of the isolated single unit, located in the lower contralateral visual hemifield, shows bidirectional response to a full 2.5° wide slit. Each PSTH was obtained from 15 trials. Arrows indicate the direction of stimulus displacement (modified from Gattass et al. (1979)]

tuned to a preferred orientation compared to the previous group. Some units showed responses suppression for visual stimuli orthogonal to the preferred orientation. An example of a Group 7 unit is illustrated in Fig. 9.6.

9.2.5 Directional Nonuniform with Structure (Group 8)

Units classified as “direction nonuniform with structure” (Group 8) had basically two types of receptive field organization. The simplest type of organization exhibited receptive fields with a single responsive region surrounded by inhibitory

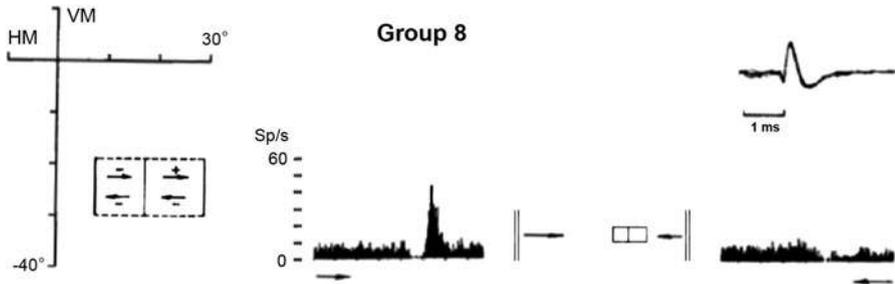


Fig. 9.7 Isolated single unit exhibiting a complex receptive field organization (Group 8) recorded in P1 (spike waveform depicted on the top right). PSTH containing the responses of 20 trials of the isolated single unit displaying a bidirectional inhibitory response in the left portion of the receptive field, while the right portion of the receptive field gave an excitatory response to the stimulus moving in one direction and an inhibitory response to the stimulus moving in the opposite direction. The stimulus was a full 2° wide slit moving at a velocity of $9^\circ/s$ (modified from Gattass et al. (1979))

flanks and was usually selective to a single direction of stimulus motion. The more complex type showed receptive fields with multiple subregions interacting with each other in intricate ways to produce a neuronal response. At least one of these subregions was found to have some form of direction selectivity. A representative example of the latter type is illustrated in Fig. 9.7. Note the two identified subregions of its receptive field. The first subregion, closer to the vertical meridian, elicits inhibitory responses to both directions of motion of a vertically oriented stimulus. Stimulation over the second subregion of the receptive field elicits excitatory responses for a rightward moving vertical stimulus but inhibitory responses for the opposite direction.

Other than the classification presented above based on the work of Gattass et al. (1979), few other studies have attempted to systematically study the response properties of pulvinar neurons. Benevento and Miller (1981) investigated the visual properties of neurons in the caudal subdivision of PL ($PL\gamma$) in the macaque monkey and described large, unflanked, bilateral receptive fields, which seemed to be disproportionately representing the central portion of the visual field. Additionally, the majority of the units were sensitive to stimulus motion and responded to binocular visual stimulation. Some neurons exhibited complex response interactions within different subregions of their receptive fields, while others responded to stimuli moving toward or away from the center of gaze.

A comparison of the different types of units found in the pulvinar with those described in the various hierarchical stages of visual processing leads us to an interesting question: what is the functional significance of units in the pulvinar showing properties similar to those described at different levels of the visual processing pathway? If we consider the pulvinar as a link between the geniculostriate and retinotectal systems, the presence of receptive fields showing various degrees of complexity is in accordance with an associative or integrative function and therefore enables this thalamic structure to participate in circuits

involved in perceptual selection. This role would also help to explain the preservation of form discrimination in both cats and monkeys after removal of striate and peristriate cortices (Nakamura and Mishkin 1986). The presence of neurons in the pulvinar with complex receptive fields and the dependence of their visual responses on the animal’s arousal state (see below) could help to explain the severe deficit produced by pulvinar lesions on discrimination tasks that require a high degree of visual attention (Ward et al. 2002). Patients with pulvinar lesions show deficits in spatial information coding for the contralateral visual hemifield. Specifically, these patients have difficulty localizing stimuli in the affected visual space. These difficulties extend to the binding of visual features that are dependent on spatial information (Ward et al. 2002). Thalamic neglect in humans is rare, and severe attentional deficits that occur due to pulvinar lesions typically do not persist for longer periods. However, a milder cognitive deficit, which consists in slower orienting responses to the contralesional hemifield, is found in some patients and may be a residual form of thalamic neglect (Danziger et al. 2001–2002; Rafal and Posner 1987).

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